

Choice Performance Confirmation Program Application Evaluation

Purpose

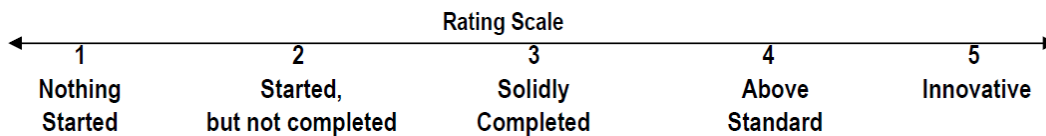
This tool should be used by the Confirmation Review Group (CRG) to evaluate an agency's final application for the Choice Performance Confirmation (CPC) program. The CRG is composed of performance management experts from agencies that have already received confirmation. The Office of Financial Management, State HR Division (SHR) staff will use the information provided by CRG members to provide feedback and input to the applying organization during its application phase, and to summarize the CRG recommendations to the OFM director or designee on whether the applying organization should receive confirmation.

Instructions

The applying organization should have responded to each of the questions in the attached table as part of its final application. Use the standardized evaluation criteria and rating scale to assess the organization's application and provide feedback to the organization. SHR recommended actions will be considered by the OFM director as part of any post-confirmation expectations.

The 1-5 scale is an anchored rating system for evaluating the organization's readiness to move forward. In most cases, the evaluator should feel comfortable giving a '3' (Solidly Completed) rating in each area.

Scale



OPTIONAL Interim Report Questions	Final Application Questions	Final Application Evaluation Standards	Rating (1-5)
	<p>Introduction What are your agency’s purpose, vision, mission and values? What are your agency’s main services and organizational structure? What are your agency’s philosophy and goals around performance management?</p>	Not rated	
Performance Incentive Program			
<p>Performance Incentive Program Interim report Practices What performance-based practices are you considering for your performance incentive program? 1. We have discussed the desired outcomes we expect to achieve by developing an incentive program. 2. We have discussed what parts of our organization and which employees will be impacted by this program. 3. We have discussed what performance-based practices we are proposing for our performance incentive program such as:</p>	<p>Performance Incentive Program Practices What performance-based practices are you considering for your performance incentive program? 1. We have discussed the desired outcomes we expect to achieve by developing an incentive program. 2. We have discussed what parts of our organization and which employees will be affected by this program. 3. We have discussed what performance-based practices we are proposing for our performance incentive program such as: a. Leave amounts for lump sum, goal sharing and gain sharing programs</p>	<p>Performance Incentive Program Practices <input type="checkbox"/> Details the tools being requested. <input type="checkbox"/> Details which parts of the organization the program will be used in. <input type="checkbox"/> Details which employees will and won’t be eligible to participate in the program. <input type="checkbox"/> Describes the desired impacts this program will have on organizational and individual performance. <input type="checkbox"/> Types and amounts fall with scope allowed within the rules. <input type="checkbox"/> If program uses multiple levels: <input type="checkbox"/> Incentive levels are clear, specific and transparent (if program uses multiple levels). <input type="checkbox"/> Criteria distinguishes excellent performance from otherwise expected performance. <input type="checkbox"/> Criteria distinguishes poor performance from otherwise expected performance (for layoff penalty</p>	

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<p>a. Leave amounts for lump sum, goal sharing and gain sharing programs</p> <p>b. Benefits and/or penalties for layoff programs</p> <p>c. Award levels (if using an award program with multiple levels)</p> <p>Include as attachments copies of charts and/or tables detailing incentive types, amounts and levels.</p> <p>Additional interim report What updates/changes have you made to the proposed performance-based practices?</p>	<p>b. Benefits and/or penalties for layoff programs</p> <p>c. Award levels (if using an award program with multiple levels)</p> <p>Include as attachments copies of charts and/or tables detailing incentive types, amounts and levels.</p>	<p>programs).</p> <p><input type="checkbox"/> Criteria describes excellent performance in terms of real contribution to accomplishment of organizational performance. For example:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Significant enhancement of client services, agency products or mission. <input type="checkbox"/> Contributions which result in substantial cost savings to the agency or increased revenues for the state. <input type="checkbox"/> Excellent effort and/or demonstrated efficiencies that lead to significant productivity improvements and/or measurable increases in the organization's output. <input type="checkbox"/> Development of an advancement, which results in greater efficiency, or increased productivity <p><input type="checkbox"/> Criteria can be applied to all employees regardless of work unit or job type.</p> <p><input type="checkbox"/> Practices do not create undue risk to the liability, credibility and integrity of state government.</p>	
<p>Performance Incentive Program Recommendation & approval process Interim report</p> <p>What recommendation and approval process are you proposing for your performance incentive program?</p> <p>Your response should describe:</p> <ul style="list-style-type: none"> • The process for supervisors to make incentive recommendations. 	<p>Performance Incentive Program Recommendation & approval process</p> <p>What recommendation and approval process are you proposing for your performance incentive program?</p> <p>Your response should describe:</p> <ul style="list-style-type: none"> • The process for supervisors to make incentive recommendations. 	<p>Performance Incentive Program Recommendation & approval process</p> <ul style="list-style-type: none"> <input type="checkbox"/> Recommendation process is integrated with PDP process. <input type="checkbox"/> Recommendation and approval process are clear, specific and transparent. <input type="checkbox"/> Recommendation and approval process allow for timely determination of incentives. 	

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<ul style="list-style-type: none"> • Who will evaluate and make final determinations regarding incentives. • The process that will be used for evaluating recommendations. • The time frames within which recommendations and determinations will be made. • How recommendations will affect employees. <p>Include as attachments program documentation detailing your recommendation and approval process.</p> <p>Additional interim report What updates/changes have you made to the proposed recommendation and approval process?</p>	<ul style="list-style-type: none"> • Who will evaluate and make final determinations regarding incentives. • The process that will be used for evaluating recommendations. • The time frames within which recommendations and determinations will be made. • How recommendations and determinations will be communicated to affected employees. <p>Include as attachments program documentation detailing your recommendation and approval process.</p>		
<p>Performance Incentive Program Reconsideration process Interim report What is your employee reconsideration process?</p>	<p>Performance Incentive Program Reconsideration process What is your employee reconsideration process?</p> <p>Include as attachments program documentation detailing your reconsideration process.</p>	<p>Performance Incentive Program Reconsideration process</p> <ul style="list-style-type: none"> <input type="checkbox"/> Reconsideration process is clear, specific and transparent. <input type="checkbox"/> Reconsideration process allows for timely consideration and determination. 	

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<p>Include as attachments program documentation detailing your reconsideration process.</p> <p>Additional interim report What updates/changes have you made to the proposed reconsideration process?</p>			
1. Executive Commitment			
<p>Executive commitment None</p>	<p>Executive commitment How has your leadership demonstrated its commitment to a performance-based culture and successfully implementing a new performance management program?</p> <p>Your response should describe:</p> <ul style="list-style-type: none"> • How your executive leadership has communicated its commitment to successfully implementing CPC. • How your agency has allocated adequate resources to achieve CPC. • How your agency has allocated adequate resources to ensure success of the performance management program. <p>Include as attachments copies of executive communications such as:</p>	<p>Executive commitment</p> <ul style="list-style-type: none"> <input type="checkbox"/> Agency demonstrates a historic culture of performance. <input type="checkbox"/> Chief executive has communicated his/her commitment to both a performance management culture and successfully implementing CPC. <input type="checkbox"/> Chief executive has communicated his/her commitment to new performance incentive program to employees (if appropriate). <input type="checkbox"/> Senior leadership has been involved in the development of the agency's current performance management system and CPC, including the development of the best practice components. <input type="checkbox"/> Agency has allocated adequate resources to successfully implement the new performance management program. 	

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	<ul style="list-style-type: none"> • Notes or minutes from face-to face meetings conducted with employees and director • Letters, email • Posters • Newsletters • Intranet pages • Notes or minutes from staff meetings • Copy of confirmation team roster 		
2. Readiness Assessment			
<p>Readiness assessment Organizational performance planning None</p>	<p>Readiness assessment Organizational performance planning How has your leadership promoted and supported organizational performance planning and results? Your response should describe:</p> <ul style="list-style-type: none"> • Your process for developing your strategic plan. • Your process for monitoring and reporting performance against goals. • How your process integrates with other planning and assessment systems (GMAP, WSQA, etc.). • The systems you have in place for communicating performance results. 	<p>Readiness assessment Organizational performance planning</p> <ul style="list-style-type: none"> <input type="checkbox"/> Agency vision, mission and values are clearly stated and communicated to all employees. <input type="checkbox"/> Agency has a strategic plan with business goals, objectives and strategies in place. <input type="checkbox"/> Agency has performance measures in place for each business line. <input type="checkbox"/> Agency has a process for monitoring and reporting performance on: <ul style="list-style-type: none"> <input type="checkbox"/> Strategic plan and business plan goals <input type="checkbox"/> Organizational performance levels <input type="checkbox"/> Tracks all employees <input type="checkbox"/> Reports compliance to executive management <input type="checkbox"/> Agency integrates the performance management system throughout the organization through activities such as Results WA, balance scorecard, 	

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	Include as attachments: <ul style="list-style-type: none"> • A copy of your strategic plan • A copy of your business plan (if applicable) • A copy of your performance measures for each business line in the organization • Results WA or comparable reports on business goal and performance measure progress • WSQA application and feedback report (if applicable) • Baldrige application and feedback report (if applicable) 	WSQA and other types of assessments (e.g., internal and external audits). <ul style="list-style-type: none"> <input type="checkbox"/> Managers and supervisors communicate agency goals effectively to employees at all levels. 	
Readiness assessment Recognition and reward systems None	Readiness assessment Recognition and reward systems What is your agency's experience recognizing or rewarding excellent performance? Your response should describe your experience identifying and responding to high performance. Include as attachments copies of relevant materials.	Readiness assessment Recognition and reward systems <ul style="list-style-type: none"> <input type="checkbox"/> Demonstrated experience recognizing excellent performance such as: <ul style="list-style-type: none"> <input type="checkbox"/> Recognition program that: <ul style="list-style-type: none"> <input type="checkbox"/> Ties individual contribution to organizational results <input type="checkbox"/> Has defined criteria <input type="checkbox"/> Has a formal process <input type="checkbox"/> Succession programs that target high performers. 	
3. Roles & Responsibilities			
Roles & responsibilities Interim report	Roles & responsibilities	Roles & responsibilities	

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<p>What modifications are you proposing to roles and responsibilities?</p> <p>Your response should describe:</p> <ul style="list-style-type: none"> • Tasks accomplished from your action plan. • Modifications to your action plan. • How you have addressed the gaps identified in your previous analysis. • Any new gaps you have identified. • What new or modified roles and responsibilities you are making to support the CPC. <p>Include as attachments copies of draft policies, procedures or other documentation outline new or modified roles and responsibilities.</p> <p>Additional interim report What did the interim reviews tell you about your assigned roles and responsibilities?</p> <p>Your response should describe:</p> <ul style="list-style-type: none"> • What significant gaps did it reveal? • What is your action plan for addressing those gaps? <p>Include as attachments:</p>	<p>How have you assigned roles and responsibilities to support successful implementation and maintenance of your new employee performance management program?</p> <p>Your response should describe:</p> <ul style="list-style-type: none"> • New/modified assignments • How assignments have been communicated • How they will contribute to the CPC. • How they address gaps identified in your previous analyses. <p>Include as attachments copies of policies, procedures or other communications that outline roles and responsibilities.</p>	<ul style="list-style-type: none"> <input type="checkbox"/> New/modified roles and responsibilities are assigned for: <ul style="list-style-type: none"> <input type="checkbox"/> Executive management <input type="checkbox"/> Human resources <input type="checkbox"/> Supervisors <input type="checkbox"/> Employees <input type="checkbox"/> Each person with an assigned role is aware of his/her role and responsibilities. <input type="checkbox"/> Role and responsibility assignments thoroughly address: <ul style="list-style-type: none"> <input type="checkbox"/> Executive management responsibility for leadership and oversight. <input type="checkbox"/> Human resource responsibility for monitoring, reporting and coaching. <input type="checkbox"/> Supervisor responsibility for: <ul style="list-style-type: none"> <input type="checkbox"/> Performance planning <input type="checkbox"/> Feedback, coaching and evaluation <input type="checkbox"/> Recognizing accomplishment <input type="checkbox"/> Taking corrective action, when necessary <input type="checkbox"/> Employee responsibility for: <ul style="list-style-type: none"> <input type="checkbox"/> Participating in performance planning <input type="checkbox"/> Achieving performance/competency targets <input type="checkbox"/> Seeking feedback and clarification when necessary <input type="checkbox"/> Correcting poor performance, when necessary 	

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<ul style="list-style-type: none"> • A revised copy of assigned roles and responsibilities (if necessary) • A copy of revised action plan (if necessary) 			
4. Management Accountability			
<p>Management accountability Interim report What modifications are you proposing to management accountabilities?</p> <p>Your response should describe:</p> <ul style="list-style-type: none"> • Tasks accomplished from your action plan. • Modifications to your action plan. • How you have addressed the gaps identified in your previous analysis. • Any new gaps you have identified. • What new or modified management accountabilities you are making to support the CPC. <p>Include as attachments copies of draft policies, procedures or other documentation that outlines new or modified management accountabilities.</p> <p>Additional interim report</p>	<p>Management accountability How will your managers and supervisors be held accountable for consistent, equitable and transparent administration of the CPC?</p> <p>Your response should describe:</p> <ul style="list-style-type: none"> • New/modified accountability standards, including the consequences of poor performance for managers/supervisors. • How new/modified accountability standards, communicated to managers/supervisors. • How they will contribute to the CPC. • How they address gaps identified in your previous analyses. • Your monitoring and tracking processes for ensuring compliance. <p>Include as attachments copies of policies, procedures or other</p>	<p>Management accountability</p> <ul style="list-style-type: none"> <input type="checkbox"/> Clear accountability standards and practices exist for managers and supervisors. <input type="checkbox"/> Managers and supervisors clearly understand consequences for failure to meet performance management responsibilities. <input type="checkbox"/> Administrative process in place for tracking manager and supervisor compliance with performance management standards and practices. 	

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<p>What did the interim reviews tell you about your accountability standards and practices?</p> <p>Your response should describe:</p> <ul style="list-style-type: none"> • Significant gaps revealed • Your action plan for addressing those gaps <p>Include as attachments:</p> <ul style="list-style-type: none"> • A revised copy of accountability standards (if necessary). • A copy of revised action plan (if necessary). 	<p>communications that outline accountability standards and practices.</p>		
5. Policies and procedures			
<p>Policies and procedures Interim report</p> <p>What modifications are you proposing to policies and procedures?</p> <p>Your response should describe:</p> <ul style="list-style-type: none"> • Tasks accomplished from your action plan. • Modifications to your action plan. • How you have addressed the gaps identified in your previous analysis. • Any new gaps you have identified. 	<p>Policies and procedures</p> <p>How do your policies and procedures support the CPC?</p> <p>Your response should describe:</p> <ul style="list-style-type: none"> • How your EPM policy will contribute to the success of the CPC. • Your process for developing and implementing policies and procedures. <p>Include as attachments:</p> <ul style="list-style-type: none"> • Copy of new EPM policy 	<p>Policies and procedures</p> <p>Policy – content</p> <p>EPM policy</p> <ul style="list-style-type: none"> <input type="checkbox"/> Describes executive commitment to EPM. <input type="checkbox"/> Describes linkage between EPM, organizational performance management and accomplishment of organizational goals. <input type="checkbox"/> Describes the principles and purpose of the EPM system. <input type="checkbox"/> Describes roles and responsibilities of various staff relative to EPM. <p>Policy –process</p> <ul style="list-style-type: none"> <input type="checkbox"/> Describes process for drafting policies and procedures. <input type="checkbox"/> Describes process for getting feedback and input. 	

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<ul style="list-style-type: none"> • What new or modified policies and procedures you are making to support the CPC. <p>Include as attachments copies of draft policies and procedures.</p> <p>Additional interim report What did the interim reviews tell you about your policies and procedures?</p> <p>Your response should describe:</p> <ul style="list-style-type: none"> • Significant gaps revealed • Your action plan for addressing those gaps <p>Include as attachments:</p> <ul style="list-style-type: none"> • A revised copy of policies and procedure(s) (if necessary) • A copy of revised action plan (if necessary) 	<ul style="list-style-type: none"> • Procedures for developing and communicating policies and procedures 	<input type="checkbox"/> Describes process for communicating new/revised policies and procedures.	
6. Communication Strategy			
<p>Communication strategy None</p>	<p>Communication strategy What is your internal communication strategy during implementation of the CPC?</p> <p>Your response should describe your communication strategy, including:</p>	<p>Communication strategy</p> <ul style="list-style-type: none"> <input type="checkbox"/> Completed risk analysis <input type="checkbox"/> Action plan for addressing identified risks (detailing who, what and when), which includes: <ul style="list-style-type: none"> <input type="checkbox"/> Involvement of executive management, human resources, supervisors and employees 	

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	<ul style="list-style-type: none"> • Key themes you intend to communicate to managers/supervisors. • Key themes you intend to communicate to employees. • The significant communication risks that you will need to address. • Contingency plans for eliminating misunderstandings and destructive myths. <p>Include as attachments:</p> <ul style="list-style-type: none"> • Your action plan (detailing who, what and when) • Copies of communication 	<ul style="list-style-type: none"> <input type="checkbox"/> Targeted communication to managers/supervisors <input type="checkbox"/> Targeted communication to employees <input type="checkbox"/> Communication throughout agency, across all work units and locations <input type="checkbox"/> Use of both formal and informal means of communication <input type="checkbox"/> Linkage with planned training <input type="checkbox"/> Regular updates 	
<p>External stakeholders None</p>	<p>External stakeholders What is your strategy for communicating with key external stakeholders (e.g., boards, legislators, regulators and media) during implementation of the CPC?</p> <p>Your response should describe:</p> <ul style="list-style-type: none"> • How you plan to communicate with external clients and customers. <p>Include as attachments:</p> <ul style="list-style-type: none"> • Your action plan (detailing who, what and when) • Copies of communication 	<p>External stakeholders</p> <ul style="list-style-type: none"> <input type="checkbox"/> External stakeholder communication action plan (detailing who, what and when) 	

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7. Training & Development Strategy			
<p>Training & development strategy Interim report What modifications are you proposing to the training plan and requirements?</p> <p>Your response should describe:</p> <ul style="list-style-type: none"> • Tasks accomplished from your action plan. • Modifications to your action plan. • How you have addressed the gaps identified in your previous analysis. • Any new gaps you have identified. • What new or modified training requirements you are making to support the CPC. 	<p>Training & development strategy How does your agency’s training plan support the CPC?</p> <p>Your response should describe:</p> <ul style="list-style-type: none"> • Your commitment to training. • Your core management/supervisor and employee training requirements. • Your process and tools for monitoring compliance. • Your current level of compliance. <p>Include as attachments:</p> <ul style="list-style-type: none"> • Your organization training plan, detailing required training. • Your monitoring reports, detailing current compliance with training requirements. 	<p>Training & development strategy</p> <ul style="list-style-type: none"> <input type="checkbox"/> Demonstrated executive commitment to staff training, including: <ul style="list-style-type: none"> <input type="checkbox"/> Financial resources <input type="checkbox"/> Release time <input type="checkbox"/> Established training requirements for: <ul style="list-style-type: none"> <input type="checkbox"/> Senior leaders and managers <input type="checkbox"/> Supervisors <input type="checkbox"/> Employees <input type="checkbox"/> New supervisors <input type="checkbox"/> New employees <input type="checkbox"/> Core training requirements for managers and supervisors that include: <ul style="list-style-type: none"> <input type="checkbox"/> Supervisor’s essentials or equivalent <input type="checkbox"/> Performance planning and development <input type="checkbox"/> Job analysis and PDF writing <input type="checkbox"/> Monitoring and reporting system that: <ul style="list-style-type: none"> <input type="checkbox"/> Tracks all employees <input type="checkbox"/> Reports compliance to executive management <input type="checkbox"/> Demonstrated 90% compliance with required training. 	
<p>Training & development Training – PDP Interim report What modifications are you making to your PDP training?</p> <p>Your response should describe:</p>	<p>Training & development Training – PDP & PIP What orientation and training will you provide to employees, supervisors and managers to implement your new CPC?</p>	<p>Training & development Training – PDP & PIP PDP curriculum</p> <ul style="list-style-type: none"> <input type="checkbox"/> PDP training that includes: <ul style="list-style-type: none"> <input type="checkbox"/> How to cascade organizational goals through individual key results expected 	

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<ul style="list-style-type: none"> • What new or modified training curriculum you are developing to support the CPC. • How these changes address previously identified gaps. <p>Include as attachments:</p> <ul style="list-style-type: none"> • Revised PDP curriculum • PDP training schedule 	<p>Your response should describe:</p> <ul style="list-style-type: none"> • What modifications you are making to your PDP training requirements. • What training you are implementing to support your performance incentive program (if applicable). • Training completion rates for PDP & PIP training conducted. <p>Include as attachments:</p> <ul style="list-style-type: none"> • Training curriculum and training aids for PDP course • Training curriculum and training aids for performance incentive program course (if applicable) 	<ul style="list-style-type: none"> <input type="checkbox"/> How to distinguish between different levels of performance. <input type="checkbox"/> One-on-one assistance from a variety of sources. <input type="checkbox"/> Training incorporated into supervisor’s development plans. <p>Training requirements</p> <ul style="list-style-type: none"> <input type="checkbox"/> 100% supervisor PDP course completion. <input type="checkbox"/> Ongoing refresher training: <ul style="list-style-type: none"> • Included in new employee and new supervisor orientation • Included in supervisors’ PDPs <p>Training completion</p> <ul style="list-style-type: none"> <input type="checkbox"/> 100% supervisor PDP course completion <p>PIP curriculum (if applicable)</p> <ul style="list-style-type: none"> <input type="checkbox"/> Performance incentive program training curriculum that addresses: <ul style="list-style-type: none"> <input type="checkbox"/> Roles and responsibilities <input type="checkbox"/> Processes, criteria, standards and expectations <input type="checkbox"/> One-on-one assistance <input type="checkbox"/> Training incorporated in supervisor’s PDPs <input type="checkbox"/> Reconsideration process 	
8. PDP Implementation			
<p>PDP implementation PDP – practices Interim report What modification are you making to implement the CPC?</p>	<p>PDP implementation PDP – practices How do your PDPs support successful implementation and maintenance of the CPC?</p> <p>Your response should describe:</p>	<p>PDP implementation PDP – practices Framework</p> <ul style="list-style-type: none"> <input type="checkbox"/> Agency demonstrates framework in place for PDPs which cascade strategic/business plan goals and organization performance measures down to individual PDP key results expected. 	

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<p>How do these modifications address previously identified gaps?</p> <p>What modifications are you making to your performance management practices to support the PIP (if applicable)?</p> <p>Your response should describe:</p> <ul style="list-style-type: none"> Your conceptual framework for cascading strategic/business plan goals and organization performance measures down to individual PDP key results expected. How your framework will ensure: <ul style="list-style-type: none"> Key result and competency expectations are written with clear performance measures and standards. Supervisors link performance evaluations back to performance expectations identified in Part 1 of the PDP. <p>Include as attachments:</p> <ul style="list-style-type: none"> A copy of model PDPs (plan and evaluation) 	<ul style="list-style-type: none"> Your conceptual framework for cascading strategic/business plan goals and organization performance measures down to the individual PDP key results expected. How your framework will ensure: <ul style="list-style-type: none"> Key result and competency expectations are written with clear performance measures and standards. Supervisors link performance evaluations back to performance expectations identified in Part 1 of the PDP. <p>Include as attachments:</p> <ul style="list-style-type: none"> A sample of up to 10 PDP plans from the current evaluation cycle representing a cross section of employees. A sample of up to 10 PDP evaluations from the previous evaluation cycle, representing a cross section of employees. 	<p>PDFs</p> <ul style="list-style-type: none"> Clearly state essential job functions and major job duties. Clearly identify knowledge, skills, abilities and behaviors needed for successful job performance. <p>PDPs</p> <p>Planning components (Parts 1, 2 and 3)</p> <ul style="list-style-type: none"> Supervisors set individual job-related performance expectations that: <ul style="list-style-type: none"> Set expectations with clear performance measures and results which contribute to unit, program and organizational goals. Are specific, measurable, action-oriented, realistic and time-oriented (SMART). Contain position-specific, measurable and observable competencies. Employee development plans contain training plans for developing and maintaining key knowledge and skills needed for successful job performance. Majority of employees provide input. <p>Evaluation components: (Parts 4 & 5)</p> <ul style="list-style-type: none"> Periodic interim reviews conducted to accurately reflect changes to work assignments or special projects. <p>Supervisors' feedback:</p> <ul style="list-style-type: none"> Measurably distinguishes between unsatisfactory and satisfactory performance. 	

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<ul style="list-style-type: none"> • A copy of revised action plan (if necessary) <p>Additional interim report What did the interim reviews tell you about the quality of your current PDP plans?</p> <p>Your response should describe:</p> <ul style="list-style-type: none"> • Significant gaps revealed • Your action plan for addressing those gaps <p>Include as attachments:</p> <ul style="list-style-type: none"> • A revised copy of model PDPs (if necessary) • A copy of revised action plan (if necessary) 		<input type="checkbox"/> Links performance results back to performance expectations identified in Part 1 of the PDP.	
<p>PDP implementation PDP – process Interim report What modifications have you made to the PDP process to implement your new PDP practices?</p> <p>Your response should describe:</p> <ul style="list-style-type: none"> • Tasks accomplished from your action plan • Modifications to your action plan • Significant gaps revealed 	<p>PDP implementation PDP – process How does your PDP process support your new performance management program?</p> <p>How effective are your performance planning and evaluation monitoring/compliance practices?</p> <p>Your response should describe your evaluation cycle, including whether you use a standardized cycle(s).</p>	<p>PDP implementation PDP – process</p> <input type="checkbox"/> Agency has used the PDP to plan and appraise performance for one or more performance cycles. <input type="checkbox"/> Agency has met established time frames and deadlines for completing the PDP. <input type="checkbox"/> Agency demonstrates use of periodic interim reviews, including at least one mid-term evaluation during the review period. <input type="checkbox"/> Agency has ongoing monitoring and reporting system that tracks 100% completion/compliance rate for: <ul style="list-style-type: none"> <input type="checkbox"/> Performance expectations <input type="checkbox"/> IDPs (if applicable) 	

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<ul style="list-style-type: none"> Your action plan for addressing those gaps <p>What new or modified performance planning and evaluation processes you are making to support the PIP (if applicable)?</p> <p>Include as attachments copies of draft policies, procedures or other documentation outline new or modified performance planning and evaluation processes.</p> <p>Additional Interim Report What did the interim reviews tell you about your PDP process?</p> <p>Your response should describe:</p> <ul style="list-style-type: none"> Significant gaps revealed Your action plan for addressing those gaps 	<ul style="list-style-type: none"> Time frames for drafting, discussing, submitting and reviewing plans and evaluations (including how these time frames are communicated to supervisors and employees). How and when employees are provided either verbal or written feedback and coaching during the evaluation cycle, including interim reviews. Your policies, procedures and tools for monitoring quality and compliance. Your current level of compliance. <p>Include as attachments HR Management Report detailing current completion rates.</p>	<ul style="list-style-type: none"> <input type="checkbox"/> Performance evaluations <input type="checkbox"/> Current position descriptions <input type="checkbox"/> Tracks all employees. <input type="checkbox"/> Reports compliance to executive management. <input type="checkbox"/> Conducts reviews for PDPs for quality and improvement: <ul style="list-style-type: none"> <input type="checkbox"/> Percentage of interim reviews conducted <input type="checkbox"/> Percentage of employees receiving a final performance evaluation <input type="checkbox"/> Percentage improvements in employee, unit or organizational performance ratings (See employee confidence.) 	
<p>Readiness assessment Employee confidence Interim report What have you done to address gaps in the employee survey?</p> <p>Your response should describe your action plan for addressing gaps.</p>	<p>Readiness assessment Employee confidence How confident are your employees in the organization's ability to manage performance?</p> <p>Your response should describe:</p> <ul style="list-style-type: none"> Your survey process. 	<p>Readiness assessment Employee confidence</p> <ul style="list-style-type: none"> <input type="checkbox"/> Completed initial and follow-up surveys using standard SHR instrument. <input type="checkbox"/> Surveys administered to all agency employees. <input type="checkbox"/> Results are tabulated separately between management and employees. 	

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<p>Include as attachments a copy of action plan (if necessary)</p> <p>Additional interim report What did your second survey tell you about employee confidence?</p> <p>Your response should describe significant gaps revealed and your action plan for addressing those gaps.</p>	<ul style="list-style-type: none"> • Your survey results. • Analysis of actions taken to address initial agreement ratings below 60% and overall response rate below 65%. • Your strategy and action plan for continuing evaluation and reporting of employee engagement. <p>Include as attachments completed CPC Employee Performance Management Program Survey.</p>	<ul style="list-style-type: none"> <input type="checkbox"/> 60% or more accumulative average percent positive score (i.e., agree and strongly agree). <input type="checkbox"/> 65% or greater overall response rate. <input type="checkbox"/> Strategy and action plan for continuing evaluation and reporting of employee engagement (as reported through all-staff employee survey results, etc.). 	
9. Funding Plan			
<p>Funding plan Interim report What is your plan for funding the performance incentive program (if applicable)?</p> <p>Your response should describe:</p> <ul style="list-style-type: none"> • Your estimated costs to fund the program • Your anticipated funding approach • Your communications with your OFM budget analyst <p>Include as attachments:</p>	<p>Funding plan Approach How will you fund the performance incentive program?</p> <p>Your response should describe:</p> <ul style="list-style-type: none"> • Your estimated costs to fund the program • Your funding approach • Your communications with your OFM budget analyst • Your process for monitoring and reporting estimated and actual costs to senior management <p>Include as attachments:</p>	<p>Funding plan Approach</p> <ul style="list-style-type: none"> <input type="checkbox"/> Agency demonstrates a sustainable funding approach: <ul style="list-style-type: none"> <input type="checkbox"/> Funding approved at the executive level <input type="checkbox"/> Funding approach meets OFM budget standards <input type="checkbox"/> Worked with OFM budget analyst to discuss funding options such as: <ul style="list-style-type: none"> <input type="checkbox"/> Set-aside monies allocated during the normal allotment process (provided that the set aside does not impact services) <input type="checkbox"/> Actual savings from efficiencies <input type="checkbox"/> Use of monies saved through actual vacancies <input type="checkbox"/> Additional funding through legislative or other action <input type="checkbox"/> Prudent cost analysis 	

OPTIONAL Interim Report Questions	Final Application Questions	Final Application Evaluation Standards	Rating (1-5)
<ul style="list-style-type: none"> • A copy of the cost analysis and estimates <p>Additional interim report What modifications are you making to your funding approach to implement and support your performance incentive program (if applicable)? Your response should describe your revised cost estimates.</p> <p>Include as attachment a copy of revised cost analysis and estimates.</p>	<ul style="list-style-type: none"> • A copy of the cost analysis and estimates • A copy of the letter from your agency director certifying funds are available 	<p>Reporting</p> <p><input type="checkbox"/> Transparent and ongoing reporting of estimated and actual costs to senior management.</p>	
10. Monitoring Plan			

OPTIONAL Interim Report Questions	Final Application Questions	Final Application Evaluation Standards	Rating (1-5)
<p>Monitoring plan Interim report How do you plan to monitor and report on the performance incentive program (if applicable)?</p> <p>Your response should describe:</p> <ul style="list-style-type: none"> • What information you will monitor, analyze and report <p>Include as attachments a copy of the monitoring action plan (detailing who, what and when).</p> <p>Additional interim report What modifications are you making to your monitoring plan to support your performance incentive program (if applicable)?</p> <p>Your response should describe your revised monitoring action plan (detailing who, what and when).</p> <p>Include as attachments a copy of the modified monitoring action plan (detailing who, what and when).</p>	<p>Monitoring plan How will you monitor and report on the performance incentive program?</p> <p>Your response should describe:</p> <ul style="list-style-type: none"> • What information you will monitor, analyze and report <p>Include as attachments a copy of the monitoring action plan (detailing who, what and when).</p>	<p>Monitoring plan Operations</p> <ul style="list-style-type: none"> <input type="checkbox"/> The agency monitors program operations, including: <ul style="list-style-type: none"> <input type="checkbox"/> Nominations <input type="checkbox"/> Awards. <input type="checkbox"/> Number and percentage of requests for reconsideration and final resolution <p>Performance</p> <ul style="list-style-type: none"> <input type="checkbox"/> The agency monitors and has targets for employee performance-level impacts. <input type="checkbox"/> The agency monitors and has targets for organizational performance-level impacts. <p>Employee engagement</p> <ul style="list-style-type: none"> <input type="checkbox"/> The agency monitors employee engagement levels through pre- and post-survey process. <p>Reporting process</p> <ul style="list-style-type: none"> <input type="checkbox"/> The agency has an action plan for annually analyzing and reporting the status and results of the program internally. 	