

Department of Corrections

Agency 310

Audit Report	Finding Number	Finding and Resolution	
1034581	001	<p>Finding:</p> <p>Fraud Amount:</p> <p>Amount to be Recovered:</p> <p>Recovery to Date:</p> <p>Resolution/ Status:</p> <p>Personnel Action Taken:</p> <p>Criminal Action Taken:</p> <p>Agency Contact:</p>	<p>The Department’s internal controls were inadequate for safeguarding public resources, resulting in an employee being paid for time not worked and questionable overtime payments between January 3, 2023, and July 21, 2023.</p> <p>\$10,229.26</p> <p>\$18,629.26 (fraud and investigation costs)</p> <p>\$0</p> <p>The Department started the process of recovering the overpayment amount. However, collection efforts for the misappropriated funds were suspended due to the former employee being incarcerated on an unrelated conviction.</p> <p>Supervisors of the unit where this fraud occurred are now using performance standards to actively monitor performance and discuss concerns with employees on a regular basis.</p> <p>The Department continues to strengthen internal controls around timecards and leave slip submission. The Human Resources (HR) division is providing the Essential Skills for Supervisors training, which was delayed due to the pandemic, but the training unit is close to getting caught up. The HR division also offers additional training for supervisors/managers on performance management, upon request.</p> <p>The State Auditor’s Office referred the case to the Washington State Executive Ethics Board for further action. The employee resigned prior to disciplinary action being taken.</p> <p>None</p> <p>Anita Kendall Senior Director Business Services PO Box 41107 Olympia, WA 98504-1107 (360) 480-7915 Amkendall@doc1.wa.gov</p>