

Status of Audit Resolution

December 2015

Department of Health (DOH)

Agency: 303

Audit Report: 2014 F

Finding Number: 011

Finding: The Department of Health does not have adequate internal controls to ensure reports required by the Federal Funding Accountability and Transparency Act for the Drinking Water State Revolving Fund are filed correctly.

Resolution: In January 2015, the Department corrected the Federal Funding Accountability and Transparency Act (FFATA) reporting for the grant identified in the finding.

Additionally, the Department revised its written FFATA reporting procedures for the Drinking Water State Revolving Fund grants. These revised procedures include a secondary review conducted by the finance director after receiving the information from the Department of Commerce.

Once the secondary review is complete, the reports are forwarded to the Central Administration Grants Unit for input into the FFATA subaward reporting system.

The FFATA input is reviewed and approved by a Grants Unit supervisor prior to submittal to the FFATA subaward reporting system.

Completion Date: January 2015, subject to audit follow-up

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Status of Audit Resolution

December 2015

Department of Health (DOH)

Agency: 303

Audit Report: 2014 F

Finding Number: 016

Finding: The Department of Health does not have adequate internal controls to ensure it meets federal level of effort requirements for the Public Health Emergency Preparedness and National Bioterrorism Hospital Preparedness Programs.

Resolution: The Department concurs with the finding. The Department established, and now follows, written policies and procedures for tracking, documenting, and reporting the level of effort.

The Department communicated with its federal grantor to determine the best method for how and when to provide notification in the event that the required level of effort will not be met.

Completion Date: June 2015, subject to audit follow-up

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Status of Audit Resolution

December 2015

Department of Health (DOH)

Agency: 303

Audit Report: 2014 F

Finding Number: 017

Finding: The Department of Health does not have adequate internal controls over, and did not comply with, the Federal Funding Accountability and Transparency Act reporting requirements for the Public Health Emergency Preparedness and National Bioterrorism Hospital Preparedness Programs.

Resolution: The Department began making improvements to Federal Funding Accountability and Transparency Act (FFATA) reporting when this condition was identified in the fiscal year 2013 Single Audit.

Four subawards were not reported by the Department because the federal award did not appear in the FFATA Subgrant Reporting System (FSRS). The federal awards have now been added to the FSRS by the federal awarding agency and the FFATA information submitted in FSRS.

The Department updated its written procedures for submitting FFATA reports by adding additional detail. Specifically, the procedures have been modified to include the Grants Unit supervisor's review process and the necessary steps for ensuring all FFATA data is submitted in a timely manner. The new procedures have been incorporated with current practices.

Completion Date: June 2015, subject to audit follow-up

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Department of Health (DOH)

Agency: 303

Audit Report: 2014 F

Finding Number: 028

Finding: The Department of Health did not ensure Medicaid hospital and home health agency surveys were performed in accordance with the frequency required by state and federal laws.

Resolution: The Department concurs with the finding. In an effort to meet federal requirements, the Department went through a hospital survey Lean process to help improve administrative processing and develop surveyor worksheets designed to create a more focused survey approach and concentrate on infection control, quality assurance, performance improvement, and care continuity (transitions in care).

Both the hospital and home health agency (HHA) programs implemented improved scheduling practices that assured each facility type adhered to their respective survey timelines.

The workload for end stage renal dialysis (ESRD) was such that the Department determined the need to create a survey team specific for this facility type. Two nurse surveyors and a public health advisor were hired to focus on the ESRD work and remove the impact that this workload had on the hospital survey schedule. This allowed the hospital team to work exclusively with hospitals.

The Department hired a manager to work specifically with the HHA surveyors to maintain current progress and push toward 100 percent compliance. Both the hospital and HHA survey managers will work with the accrediting organization to ensure continued coordination and ensure surveys are completed within prescribed timelines.

All efforts described above are ongoing and have led to improvements in compliance over last fiscal year. The Facilities Survey and Inspection Executive Director will ensure all activities described above continue.

Completion Date: Corrective action is expected to be complete by December 2017.

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Status of Audit Resolution

December 2015

Department of Health (DOH)

Agency: 303

Audit Report: 2014 F

Finding Number: 052

Finding: The Department of Health does not have adequate internal controls over, and did not comply with, the Federal Funding Accountability and Transparency Act reporting requirements for the Maternal and Child Health Services Block Grant.

Resolution: The Department agrees that five subawards totaling \$250,334 were not reported during the audit period.

This was in part caused by the awards being omitted from the monthly Federal Funding Accountability and Transparency Act (FFATA) reporting list due to an error in the criteria used to extract the reporting data from the Department's financial system. This error was discovered and corrected in September 2013.

The Department updated its written procedures for submitting FFATA reports by adding additional detail. Specifically, the procedures have been modified to include the Grants Unit supervisor's review process and the necessary steps for ensuring all FFATA data is submitted in a timely manner. The new procedures have been incorporated with current practices.

Completion Date: May 2015, subject to audit follow-up

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