

Section K

August 2023

Warning Errors – Encumbrances and Allotments

These are warning errors in processing PAYMENTS involving encumbrances and allotments. The transaction is processed, but a warning is produced in instances where encumbrances and/or budgeted allotments are exceeded. These are non-fatal field and data element errors, as noted on the Fund Related Severity Indicators on the Organization Control Maintenance Table (TM.3.1). where the Indicator is set to W = Warning.

```

=== AFRS =(TM.3.1)==== ORGANIZATION CONTROL MAINTENANCE ===== C105P150 ===
TR: _____ LAST UPDATE: 04/25/15 9990

FUNCTION: V (A=ADD, C=CHANGE, D=DELETE, V=VIEW, N=NEXT)
BIENNIUM: 25

PRIOR FM OPEN: Y
PRIOR BIEN OPEN: Y

DATA RELATED SEVERITY INDICATORS:
1-10: FFFF_FFFF 11-20: ___FF_FF_
21-30: ___FFF_F__F

FUND RELATED SEVERITY INDICATORS:
1-10: F__FWF___ 11-20: F__W__F__F
21-30: _____F 31-40: __F__F__I
41-50: _____F 51-60: __I__F__
61-70: _____ 71-80: FFFFFFFFFF

** SYSTEM MANAGEMENT RECORD **
*
* CURRENT BIEN YR: 25 *
* CURRENT FM: 01 *
* PRIOR FM: 24 *
* PRIOR FM OPEN: NO *
* (FM 01-24) *
* PRIOR BIEN YR: 23 *
* PRIOR BIEN YR OPEN: NO *
* (FM 24-25) *
* FM 99 OPEN: NO *
*
*****

F3=RETURN, F12=MESSAGE, CLEAR=EXIT
RECORD FOUND AND DISPLAYED
    
```

When an indicator in the Fund Related Severity Indicators section is set to W, the error becomes a warning & produces a K Error code. See the TM.3.1 Organizational Control Table User Document for more information.

Section K Error Result: The transaction is flagged with a warning. That transaction will update the system master files (post transaction) and is not moved to the Error File. It is suggested that the contradiction which caused the warning be corrected.

K11 - APPROPRIATION NEGATIVE

Explanation: The transaction being entered will over liquidate the appropriation (APPN FILE). This is not desired. Transaction posted and a warning issued.

Suggestion: Since this warning could have been caused by a previous erroneous transaction or a fund control error, check these transactions. If a previous transaction has been entered in error, it must be corrected by an adjusting entry. Reported problem could be to Current Month, Prior Month or Prior Year.

K14 - ALLOTMENT NEGATIVE

Explanation: The transaction being entered will over liquidate the appropriation file allotment balance. This is not allowed. Reported problem could be to Current Month, Prior Month or Prior Year.

Suggestion: See the correction process for error code K11.

K17 – APPROPRIATION OVER EXPEND

Explanation: The transaction being entered will over expend the appropriation file allotment balance. This is not desired. Reported problem could be to Current Month, Prior Month or Prior Year.

Suggestion: See the correction process for error code K11.

K30 - ALLOTMENT OVER LIQUIDATED

Explanation: The transaction being entered will over LIQUIDATE the allotment file allotment balance. This is not desired. Transaction posted and a warning issued.

Suggestion: It is most likely that a previous transaction was in error. Check previous transaction, and make the necessary error corrections. Reported problem could be to Current Month, Prior Month or Prior Year.

K33 - ALLOTMENT OVER EXPEND

Explanation: The transaction entered would over expend the allotment for the current fiscal month. Expenditures cannot exceed allotments.

Suggestion: It is most likely that a previous transaction was in error. Check previous transaction, and make the necessary error corrections. Reported problem could be to Current Month, Prior Month or Prior Year.

K40 - CASH NEGATIVE

Explanation: The transaction entered would create a negative cash balance. This is not a desired practice. Transaction posted, but warning issued.

Suggestion: Determine if the transaction was incorrectly coded and was, therefore, trying to post to the wrong allotment. If this is the case, correct the transaction with the appropriate error correction transaction.

K50 - PROJECT BUDGET OVER REVERSED

Explanation: The transaction entered would over-reverse the project budget.

Suggestion: It is most likely that a previous transaction was in error. Check previous transactions, and make the necessary error corrections. Reported problem could be to Current Month, Prior Month or Prior Year.

K53 - PROJECT OVEREXPEND

Explanation: The transaction entered would over expend the budget. This is not a desired practice. Transaction posted, but warning issued.

Suggestion: It is most likely that a previous transaction was in error. Check previous transactions, and make the necessary error corrections. Reported problem could be to Current Month, Prior Month or Prior Year.

K57 - PROJECT CLOSED

Explanation: The transaction processing date is not in the range of the project start and end dates. Project closed. Transaction posted, but warning issued.

Suggestion: It is most likely that a previous transaction was in error. Check previous transactions, and make the necessary error corrections. Reported problem could be to Current Month, Prior Month or Prior Year.