

**State of Washington
 Status of Audit Resolution
 December 2017**

Employment Security Department

Agency 540

Audit Report	Finding Number	Finding and Corrective Action Plan	
2016 F	005	<p>Finding:</p> <p>Status:</p> <p>Corrective Action:</p> <p>Completion Date:</p> <p>Agency Contact:</p>	<p>The Employment Security Department made unsupported payments to Trade Readjustment Allowance program participants under the Unemployment Insurance program.</p> <p>Corrective action in progress</p> <p>As of October 2016, the Department established new procedures over the Trade Readjustment Allowance program which includes payment documentation and retention requirements, and managerial oversight and review processes.</p> <p>As of December 2016, manuals were developed to provide guidance to staff on the newly implemented policies and procedures to ensure program compliance and payment accuracy.</p> <p>As of January 2017, the Department implemented the Unemployment Tax and Benefit (UTAB) system to help automate and improve payment accuracy. UTAB is also used by the Trade Readjustment Allowance programs for maintaining payment documentation and record retention.</p> <p>By December 2017, the Department anticipates receiving the final determination letter from the U.S. Department of Labor and will determine what, if any, costs need to be repaid.</p> <p>The conditions noted in this finding were previously reported in finding 2015-008.</p> <p>Corrective action is expected to be complete by December 2017</p> <p>Ben Hainline Director of Internal Audit PO Box 46000 Olympia, WA 98504-6000 (360) 902-9276 bhainline@esd.wa.gov</p>

